

Anuj Makol

COMPANY SECRETARY
B.COM (H); LL.B; ACS

To
The Board of Directors
Capital Small Finance Bank Limited
(Formerly Known as Capital Local Area Bank Limited)
Midas Corporate Park 3rd Floor 37 G.T. Road, Jalandhar
Punjab - 144001

Scrutinizer's Report on Postal Ballot

Dear Sir,

In terms of Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules 2014, as amended from time to time, I have been appointed as the Scrutinizer by Capital Small Finance Bank Limited ('the Company' or 'the Bank')(earlier known as Capital Local Area Bank Limited) in its Board Meeting held on 21 January, 2017 to conduct the Postal Ballot Process in a fair and transparent manner in respect of the following resolution:

Resolution Number	Type of Resolution	Resolution For
1	Special Resolution	To Issue Equity Shares on Private Placement Basis

I submit my report as under:

1. The Company confirmed completion of the dispatch of Postal Ballot Notices, forms and postage prepaid business reply envelopes on or before, February 20, 2017 to its Members whose name(s) appeared on the Register of Members as on Tuesday, January 31, 2017.
2. The Company has made an advertisement regarding dispatch of postal ballot forms in 'The Financial Express' (English) Jalandhar Edition and 'Nawa Zamana' (Punjabi) Jalandhar Edition on Tuesday, January 24, 2017.
3. As the number of shareholders of the Company are less than 1000 (One Thousand), the Company was not required to give e-voting facility mandatorily, so the members were given an option to vote through postal ballot only.
4. The Particulars of all the Postal Ballot forms received from the Members in physical form have been entered in a separate e-register maintained for the purpose.

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5. The Postal Ballot forms were kept under my safe custody before commencing and during the scrutiny of such postal ballot forms.
6. The Postal Ballot forms were scrutinized in my presence and details of shareholders were matched with the Register of Members of the Company as on January 31, 2017. If any shareholder voted for lesser number of shares than those held by him, the shares for which he voted only were considered for this report.
7. All the Postal Ballot forms received upto the close of working hours i.e. 5:30 PM IST on Wednesday, March 22, 2017 being the last date and time fixed by the Company for receipt of the forms were considered, and none of the envelopes containing Postal Ballot forms received after this date and time, were considered for the purpose of this report.
8. No defaced or mutilated Ballot Paper was found.
9. The paid up Equity Share Capital of the Company was 2,30,19,893 Equity Shares of Rs. 10/- each amounting to Rs. 23,01,98,930/- as on January 31, 2017.
10. I have on reckoning the voting rights of the shareholders on the basis of the paid-up value of the equity shares registered in their names, found that total percentage of assent votes to total valid polled A Summary of the Voting Results through Postal Ballot Process is given hereinafter below:

Resolution No. 1: To Issue Equity shares on a Private Placement Basis.

Particulars	No. of Shareholders	No. of Equity Shares	Paid up value of the Equity Shares (In Rs.)	% of votes in favor of valid votes	% of votes in against of valid votes	% of Total Paid-up Equity Capital (Approx.)
a) Total Votes Received	197	16623099	166230990	-	-	72.21
b) Less: Invalid/Abstained Votes	12	68028	680280	-	-	0.30
c) Net Valid Votes	185	16555071	165550710	-	-	71.91
d) Votes with Assent	185	16555071	165550710	100.00	-	71.91
e) Votes with Dissent	-	-	-	-	-	-

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Accordingly the above mentioned resolution is deemed to have been passed with requisite majority.

11. I will hand over the Postal Ballot forms and the related register for safe custody of the Company after signing of the minutes by the Chairman.
12. The results of the voting by members through Postal Ballots in respect of the above mentioned Resolutions may accordingly be declared by the Chairman of the Company.

Place: Jalandhar
Date: March 23, 2017



Anuj Makol
Scrutinizer
M. No. A40319
CP No. 15042